

# CAIRE Inc. Reseller Minimum Advertised Price Policy

In order to successfully compete in the marketplace, maintain its premium brand image, and protect its goodwill, CAIRE Inc. ("CAIRE"), which includes all of its affiliated companies, requires the following with respect to those who advertise and/or sell CAIRE's products ("Resellers"):

## **MAP Policy:**

CAIRE hereby institutes, and unilaterally adopts, this unilateral Minimum Advertised Price Policy (the "MAP Policy") that shall apply to all Resellers as described herein, including but not limited to, online Resellers. This MAP Policy applies to CAIRE's respiratory product line that is sold to customers in the United States of America ("U.S."). The minimum advertised prices ("MAP") for the applicable products are listed in the attached **Attachment A** (the "Products"), as communicated to Resellers from time to time, and CAIRE may change from time to time in CAIRE's sole discretion. This MAP Policy does not apply to sales outside of the U.S. All Products must be advertised at or above the MAP listed in **Attachment A**. Resellers may advertise Products above the MAP.

- Certified Pre-Owned Products: A Certified Pre-Owned Product is a Product that has been previously utilized, or a demonstration Product, that has been returned and repaired according to the standards set below. Any advertising of Certified Pre-Owned Products, including words such as "new," "like new," or similar statements, must be advertised as "Certified Pre-Owned Products." A Certified Pre-Owned Product must meet the following requirements:
  - a) Repairs are completed at a CAIRE Inc. facility by trained CAIRE technician(s) or non-CAIRE Inc. Technician(s) performing repairs must have completed CAIRE's service training and have a Certificate of Completion.
  - b) Product must be repaired with original equipment manufactured or distributed by CAIRE.
  - c) Repaired Product meets minimum operating specifications as outlined in the Product's Technical Service Manual.
- 2) Remanufactured / Refurbished / Used Products: "Remanufactured", "Refurbished" and "Used" mean any Product that has been previously utilized, or a demonstration Product that has been returned or remanufactured by anyone other than CAIRE or a CAIRE authorized service center that does not meet the specifications outlined in number 1 above. Any advertising of such products must be advertised as "Used," "Remanufactured/Refurbished," or words to that effect. Any Remanufactured/Refurbished/Used Product that is not identified as such, which may appear to be a new Product, is a violation of this policy.
- 3) **Bundled Products**: "Bundled Products" means individual components (e.g., unit, battery, cart, etc.) of a Product that are packaged, intended or required to be bundled as the "Product."

Although this MAP Policy was unilaterally adopted by CAIRE, CAIRE neither solicits nor will it accept assurances by Reseller of acquiescence with this MAP Policy. Nothing in this MAP Policy shall constitute an agreement between CAIRE and any Reseller of compliance with this MAP Policy; provided that, failure to adhere to this MAP Policy could result in adverse changes to Reseller's relationship with CAIRE, up to and including, termination of the Reseller's account with CAIRE. Each Reseller within its own discretion can choose to acquiesce or not acquiesce with this MAP Policy. For violations of this MAP Policy, CAIRE reserves the right to appropriate corrective action in its sole discretion, which may include, but not be limited to, changes to credit terms, shipment terms, pricing, suspension, and/or cancellation. The interpretation of this MAP Policy is within the sole discretion of CAIRE and can be altered, modified, or amended only at the sole discretion of CAIRE.



## **MAP Policy Coverage:**

This MAP Policy applies to all forms of advertising of CAIRE Products by Resellers, including but not limited to in-store advertising, mailings, catalogs, flyers, posters, coupons, mailers, inserts, newspapers, magazines, mail order catalogs, television, radio, public signage, displays at consumer exhibitions and shows, advertising via email, e-commerce sites, websites, natural or paid search engine listings, social media, the Internet or any other electronic network, and any and all other forms of advertising media. Website features such as "click for price," automated "bounce back" pricing emails, pre-formatted email responses, forms, and automatic price display for any items prior to being placed in a customer's shopping cart, and other similar features are considered to be communications initiated by the Reseller (rather than by the customer) and thereby constitute "advertising" under this MAP Policy.

## **Prohibitions Regarding Bundled Products:**

Resellers shall not advertise separate prices for components of Products that are intended or required to be Bundled Products and packaged as such, in order that the price for the components when bundled together is lower than the MAP for the actual Bundled Product. Resellers may make independent decisions regarding including other accessories during telephone conversations with the customer, but the free accessories must not be advertised online.

Only the Products listed on Attachment A of this MAP Policy have established minimum advertised prices ("MAP Price"). CAIRE may advertise below the MAP, from time to time, for pricing analytical purposes in certain sectors of the country (a "Pricing Study"). During a study in that particular area of the country (i.e., by state), Resellers may similarly advertise at the same price, in the same region and for the same period of time as the Study.

Direct or indirect attempts to circumvent the MAP will be considered a violation of the MAP Policy. Such attempts include, but are not limited to, advertisements that contain the following terms or approaches, if such terms or approaches will result in the Products being advertised below the MAP:

- a. Coupons, sales, discounts, promotions, rebate offers, or other inducements that advertise a price lower than the MAP;
- b. Advertising "call for price", "email for price," or any similar statements;
- c. Advertising "the lowest price," "the best price," "will beat competitors' prices", or similar statements;
- d. Buy one/get one free offers which include a CAIRE Product;
- e. Bundling CAIRE Products at a price that is less than the sum of the MAP for each individual product;
- f. Online advertisements of Products must include the price of the Product and to do otherwise demonstrates an intent to circumvent the intent of the MAP Policy;
- g. Online advertisements of Products must include and list the accessories that the customer will also receive (By way of example and not limitation, for the FreeStyle Comfort, all accessories and battery options that a customer will receive in the order must be listed on Reseller's website.);
- h. If the Product includes free shipping, the Product must be advertised at a price that meets or exceeds the MAP;
- i. Resellers may not advertise Used Products or accessories for sale, and Resellers may only sell Used Products if Resellers strictly adhere to all federal, state, and local laws and regulations for doing so, but Used Products may not be advertised; or
- j. Other promotional activities as determined by CAIRE designed, directly or indirectly, to advertise a price lower than the MAP.

This MAP Policy applies only to minimum advertised prices and does not apply to the prices at which the Products are actually sold or to advertised prices that are higher than the MAP unilaterally established by CAIRE from time to time. Each Reseller remains free to independently establish its own sales prices for the Products as long as such prices are equal to, or above, the MAP.



# **MAP Policy Enforcement and Violations:**

CAIRE will evaluate MAP Policy violations and enforce this Policy in its sole discretion and without notice. Resellers have no right of enforcement. If a Reseller chooses not to follow the MAP Policy, CAIRE reserves the right, in its sole discretion, to take enforcement actions commensurate with the MAP Policy violation, including but not limited to terminating or reducing promotional allowances relating to CAIRE Products, as well as restricting or terminating the Reseller's authorization to distribute CAIRE Products. If a Reseller violates this MAP Policy, and CAIRE institutes enforcement per the MAP Policy, the Reseller shall have a period of two days to cure the violation before the next consequence may be enforced. CAIRE always reserves the right to decide with whom it will do business, including Resellers.

If CAIRE determines, in its sole discretion, that a Reseller has violated or taken any actions inconsistent with this MAP Policy, CAIRE reserves the right, in its sole discretion, to take any or all of the following actions:

- 1. 1st Offense: Cash in advance for a 30-day period
- 2. 2nd Offense: Price increase of 5%
- 3. 3rd Offense: Account termination

# **MAP Policy Modifications:**

CAIRE reserves the right, without Reseller approval, to alter, modify, amend, suspend, discontinue or cancel this MAP Policy, the Products covered, and/or any MAP Price, at any time and for any reason. CAIRE sales, marketing, and customer service representatives are not authorized to discuss this MAP Policy with Resellers. All questions regarding this MAP Policy should be sent to CAIRE Brand Compliance at <u>caire.brand.compliance@caireinc.com</u>.

VERSION DATED: June 15, 2022



Product	Item #	MAP
Portable Oxygen Concentrators		
SeQual <sup>®</sup> Eclipse 5 <sup>®</sup>	6900-SEQ	\$3,200
SeQual Eclipse 5 w/Extra Battery	6900LN-SEQ	\$3,550
CAIRE FreeStyle <sup>®</sup> Comfort <sup>®</sup> 8 Cell Battery	AS200-1	\$2,995
CAIRE FreeStyle Comfort with 16 Cell Battery	AS200-101	\$3,195
CAIRE FreeStyle Comfort with 8 Cell Battery (2)	AS200-201	\$3,195
5L Stationary Oxygen Concentrator		
CAIRE Companion 5 <sup>™</sup> w/O2 Monitor	15067005	\$995
10L Stationary Oxygen Concentrators		
AirSep NewLife Intensity 10 L w/O2 Monitor	AS099-101	\$1,995
AirSep NewLife Intensity 10 L Dual Flow w/O2 Monitor	AS099-4	\$2,095
Product Accessories		
SeQual Eclipse 5 Battery	7082-SEQ	\$549
SeQual Eclipse 5 Battery Charger	7112-SEQ	\$175
SeQual Eclipse 5 Cart	5991-SEQ	\$150
CAIRE FreeStyle Comfort 8 Cell Battery	BT034-1S	\$389
CAIRE FreeStyle Comfort 16 Cell Battery	BT035-1S	\$589
CAIRE FreeStyle Comfort Battery Charger	BT036-1	\$250
CAIRE FreeStyle Comfort Carry Bag	MI396-1	\$70
CAIRE FreeStyle Comfort Backpack	MI459-1	\$120

# Attachment A: MAP POLICY PRODUCT LIST AND MAP



Attachment B: <u>GTIN and UPC Barcode Assignments (Excepted from QRF-008C)</u> The following table lists the assigned GTIN and UPC barcode for each product that CAIRE has registered on the GS1 US database.

Product Description	CAIRE Part Number	Assigned GTIN	UPC Barcode
FreeStyle Comfort, 8 Cell Unit	AS200-1	850004757000	8 50004 75700 0
FreeStyle Comfort, 8 Cell (2) Unit	AS200-201	850004757017	8 50004 75701 7
FreeStyle Comfort, 16 Cell Unit	AS200-101	850004757024	8 50004 75702 4
FreeStyle Comfort 8 Cell Battery	BT034-1S	850004757031	8 50004 75703 1
FreeStyle Comfort 16 Cell Battery	BT035-1S	850004757048	8 50004 75704 8
FreeStyle Comfort Desktop Charger	BT036-1	850004757055	8 50004 75705 5



Product Description	CAIRE Part Number	Assigned GTIN	UPC Barcode
FreeStyle Comfort Accessory Bag	MI372-2	850004757062	8 50004 75706 2
Eclipse 5, Single Battery Unit	6900-SEQ	850004757079	N/A- GTIN assigned in error
Eclipse 5, Single Battery Unit	6900-SEQ	850004757086	8 50004 75708 6
Eclipse 5 Battery	7082-SEQ	850004757093	8 50004 75709 3
Eclipse 5 Desktop Charger	7112-SEQ	850004757109	8 50004 75710 9
Eclipse 5 Cart	5991-SEQ	850004757116	8 50004 75711 6
Eclipse 5 (2 Batteries)	6900LN- SEQ	850004757123	8 50004 75712 3
Eclipse 5, Single Battery Unit	6900BT- SEQ	850004757130	8 50004 75713 0

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Product Description	CAIRE Part Number	Assigned GTIN	UPC Barcode
FreeStyle Comfort, 8 Cell Unit	AS200- 1DTC	850004757147	8 50004 75714 7
FreeStyle Comfort Desktop Charger, Type C	BT036-2	850004757154	8 50004 75715 4
FreeStyle Comfort Backpack	MI459-1	850004757161	8 50004 75716 1
FreeStyle Comfort, 8 Cell Unit, Type C, Multi-Language	AS200-2	850004757178	8 50004 75717 8
FreeStyle Comfort, 16 Cell Unit, Type C, Multi-Language	AS200-102	850004757185	8 50004 75718 5
FreeStyle Comfort, 8 Cell (2) Unit, Type C, Multi- Language	AS200-202	850004757192	8 50004 75719 2
FreeStyle Comfort, 8 Cell Unit, Type A, Multi-Language	AS200-3	850004757208	8 50004 75720 8



Product Description	CAIRE Part Number	Assigned GTIN	UPC Barcode
FreeStyle Comfort, 16 Cell Unit, Type A, Multi-Language	AS200-103	850004757215	8 50004 75721 5
FreeStyle Comfort, 8 Cell (2) Unit, Type A, Multi- Language	AS200-203	850004757222	8 50004 75722 2